

# High-Efficiency Solar Electricity of Umbrella by TRIZ Analysis

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## Abstract

This investigation presents the strategy of high-efficiency solar electricity of umbrella by TRIZ (Theory of Inventive Problem Solving) analysis. According to the combined statistics of classical and new contradiction matrix, the invention principles can be used for designing tracking irradiance of surface plane of umbrella orientations including tilted angle ( $\theta$ ) and azimuth angle ( $\emptyset$ ). Three geographical sites are discussed by regions of Berlin, Taipei and Wellington located in north, near and south of tropic of cancer, respectively. The optimal design of azimuth surfaces of umbrella can be obtained by electricity gain at a fixed tilted angle.

## Keywords

TRIZ, Electricity, Solar, Orientation, Umbrella

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## 1. Introduction

The solar powered umbrella is designed to power the outdoor use of direct current electronic devices for replacing electrical outlets based on the benefit of providing shade for the user [1]. The factors of electricity include tilt angle and orientations of angular losses in the field condition [2]-[4], seasonal variations [5] and environment [6]. This research is to develop a strategy that suits for tracking high-efficiency solar electricity of umbrella by TRIZ (Theory of Inventive Problem Solving) analysis. By means of a practical methodology, tool sets, a knowledge base and model-based technology, TRIZ analysis helps to generate innovative solutions for problem solving. TRIZ stands for teoriya resheniya izobretatelskikh zadach (Russian: теория решения изобретательских задач), which is developed by the soviet inventor—Genrich Altshuller (1926-1998) and his working team between 1946 and 1985. TRIZ in English is typically rendered as “the theory of inventive problem solving” and it sometime goes as English acronym TIPS (Theory of Inventive Problem Solving) [7] [8].

The research has proceeded in several stages during the last sixty years. The three primary findings of this research are as follows:

- Problems and solutions are repeated across industries and sciences. The classification of the contradictions in each problem predicts the creative solutions to that problem.
- Patterns of technical evolution are also repeated across industries and sciences.
- TRIZ is used as the method and innovation principle of developing advanced technology and creative thinking. These principles should be identified and codified, and TRIZ could be taught to make the process of creativity more predictable [9]-[11].

According to geographical sites (latitude, longitude, time zone), tracking irradiance of surface plane of umbrella orientation (azimuth angle) would be one problem for high-efficiency solar electricity of umbrella. Another problem is that the degree of open umbrella (tilted angle) is limited by sun shadow area. The technology developed by TRIZ analysis takes care of these two problems. This work makes use of the TRIZ inventive principle of “transitioning to another dimension: another dimension, spheroidality, asymmetry, local quality, parameter changes”. Since number of surface plane is optimal by azimuth angle analysis, sunlight is instead directed to go large irradiance and generate electricity gain.

## 2. The Model Processes for Problem Solving with TRIZ

### 2.1. Theory and Model Processes of Inventive Problem Solving, TRIZ

TRIZ is a problem solving method, which is based on logic and data, not by intuition or psychological inertia. It can accelerate the developer, research, and the project’s working team has the ability to solve problems creatively. TRIZ also provides repeatability, predictability, and reliability due to its structure and algorithmic approach. TRIZ is an international science of creativity that relies on the study of the patterns of problems and solutions, not on the spontaneous and intuitive creativity of individuals or groups. More than three million patents have been analyzed to discover the patterns that predict breakthrough solutions to problems.

Creativity is now finding that solution and adapting it to this particular problem. An important part of the theory has been devoted to revealing patterns of evolution. Through TRIZ method, the innovative elements could be applied to the new innovative system, or even redefine the system characteristics and mode.

Most TRIZ practices are conducted by the pattern of repeating problems. This pattern not only helps deriving solutions to the problem but also meet the demand by using specific factors corresponding to the existing pattern. **Figure 1** describes this process graphically, the arrows represent transformation from one formulation of the problem or solution to another. The steps problem solving approach forces the user to overcome inherent psychological bias that is typically the foundation of psychological ideation techniques.

### 2.2. Engineering Parameters of the Contradiction Matrix

Based on TRIZ by Altshuller, the specific problem is to face at the concept of the high-efficiency solar electricity of umbrella. Then using the problem transformation method changes the project to standard problem into 39 features, such as the problems of Length/Angle of stationary object, power, and function efficiency. The engineering concept defines generalizable patterns in the nature of inventive solutions and distinguishes characteristics of the problems that have been overcome through the spirit of hundreds of thousands of inventions. To specify a solution for the problem can get the invention principals from the contradiction matrix to solve the problem and enhance the value of this research.

The solar powered umbrella is designed to power the outdoor use of direct current electronic devices for replacing electrical outlets based on the benefit of providing shade for the user. As shown in **Figure 2**, the solar umbrella has several advantages as the base for the solar panels. The solar umbrella provides surface areas for mounting the solar panels allowed for easy exposure to sunlight. Therefore, solar collection capacity would be improved as the umbrella settling and solar panel design for integration. The graphs of sun path (height/azimuth diagram) reveal the characteristics and distribution of the meteorological diagram for any time period. In **Figure 3**, the geographical sites (latitude, longitude, time zone) are defined by regions of Berlin of Germany (52.3, 13.2, 1) located in north of tropic of cancer, Taipei of Taiwan Region (24.5, 121.4, 8) located near tropic of cancer and Wellington of New Zealand (−41.19, 174.46, 12) located in south of tropic of cancer. The graphs of sun path perform transpositions from the yearly meteor information base on the database of PVSYST software. According to Sun

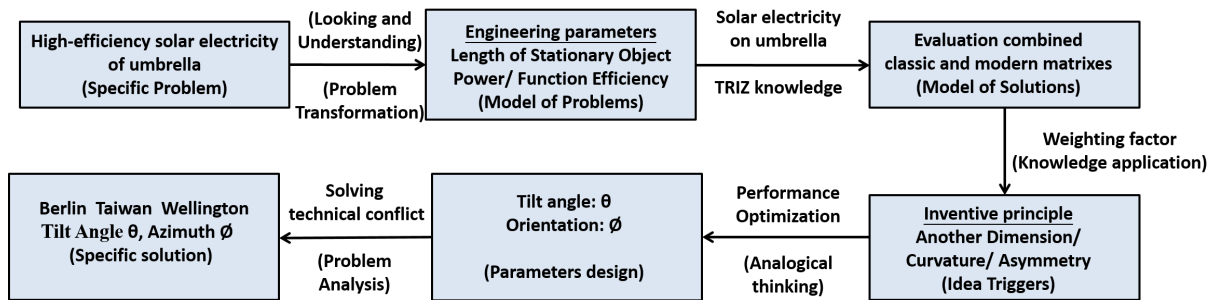


Figure 1. The model processes for problem solving with TRIZ.



Figure 2. The solar umbrella and individual solar panel.

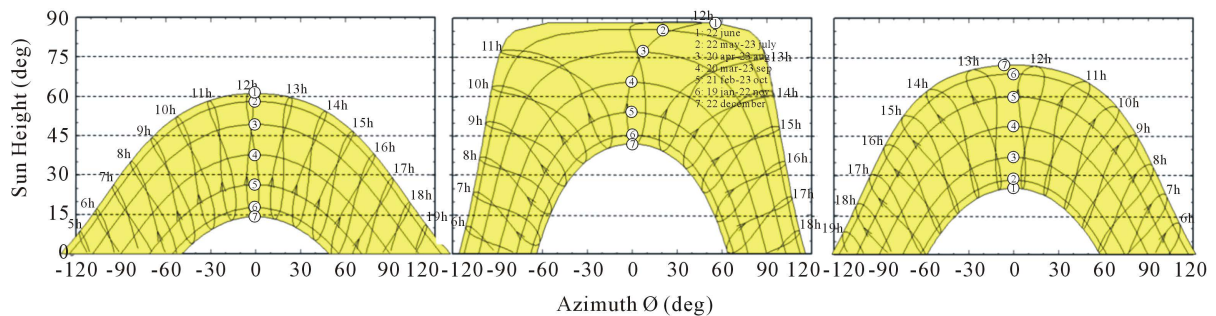


Figure 3. The geographical sites defined by regions of Berlin of Germany, Taipei of Taiwan Region and Wellington of New Zealand (from left to right).

path variations, TRIZ is applied to design parameters of umbrella founded by the classical and new contradiction matrix, and determined by settling orientation of solar panel for high-efficiency solar electricity of umbrella.

### 3. The Combined Statistics of Classical and New Contradiction Matrix

#### 3.1. TRIZ Analysis of Contradiction Matrix

This paper applies a statistics with combined 39 engineering parameters and the 40 TRIZ principles to make a strategy suited for tracking high-efficiency solar electricity of umbrella. In Appendix 1, these are called the 39 Engineering Parameters. According to Altshuller extracted from over 1,500,000 world-wide patents, these 39 standard technical characteristics cause conflict. In Appendix 2, the 40 Principles are solution triggers, very general ideas of how to solve a contradiction. They are the easiest TRIZ tool to use, and the one most likely to

give us good solutions fairly, easily and quickly. One of the tools which evolved as an extension of the 40 principles, and a contradiction matrix in the contradictory elements of a problem were categorized. According to a list of 9 engineering parameters (48 engineering parameters for the new version) it could impact on each other.

In **Table 1** classic contradiction matrix is used to determine principles to the highest probability of solving a particular problem by finding the engineering parameters. Finding the contradictions to probable principles of TRIZ helps the analysis of a particular innovative problem to get the solution type of contradiction (39 engineering parameters/40 invention principles) directly. As high-efficiency solar electricity of umbrella for the class contradiction matrix, the 39 engineering parameters could be presented to solve the following:

- 4. Length/angle of stationary object.
- 6. Area of stationary object.
- 12. Shape.
- 21. Power.

In **Table 2** new contradiction matrix (Darrell Mann Matrix) is used to determine principles to the highest probability of solving a particular problem by finding the engineering parameters. The new Matrix contains several parameters without the feature of classical Matrix. The inventive principle suggestions obtained from the original matrix for problems related to the new parameters (noise, emissions, safety, security, etc.) and from the nearest match of parameters in the original list of 39. Where there is no direct match between the conflict challenged by an inventor and the original matrix, the inventive principle suggestions are shown in parentheses (48 features/40 invention principles). For the New contradiction, the features are:

- 4. Length/angle of stationary object.
- 6. Area of stationary object.
- 9. Shape.
- 17. Energy used by stationary object.
- 18. Power.
- 24. Function efficiency.

**Table 1.** Classic contradiction matrix (39 engineering parameters/40 invention principles).

Classic contradiction matrix (39 engineering parameters/ 40 invention principles)	4. Length/angle of stationary object	6. Area of stationary object	12. Shape	21. Power
4. Length/angle of stationary object	-	17, 7, 10, 40	13, 14, 15, 7	12, 8
6. Area of stationary object	26, 7, 9, 39	-	-	17, 32
12. Shape	13, 14	-	-	4, 6
21. Power	-	17, 32	29, 14	-

Note: The sign “-” means no recommendations.

**Table 2.** New contradiction matrix (48 features/40 invention principles).

New contradiction matrix (48 features/40 invention principles)	4. Length/angle of stationary object	6. Area of stationary object	9. Shape	17. Energy used by stationary object	18. Power	24. Function efficiency
4. Length/angle of stationary object		17, 40	13, 14, 15, 7	35, 3, 30, 31	17, 19	3, 35, 28
6. Area of stationary object	17, 14, 3, 4, 7		17, 5, 4	35, 40, 17	35, 7	28, 3
9. Shape	17, 14, 4, 13, 5,	17, 14, 5		35, 14	4, 6, 2, 30, 1	2, 29, 28
17. Energy used by stationary object	4, 7, 9, 19	4, 17, 3, 14, 16	7, 35, 24		2, 5, 9, 13	2, 19
18. Power	17, 14, 1	17, 19, 38	29, 14, 15	19, 15		2, 28, 14
24. Function efficiency	17, 4, 13, 14	14, 17, 4	4, 14, 3, 30	3, 35, 19	35, 3, 15, 19	

### 3.2. Data Results of Contradiction Matrix by Combined Classic Contradiction Matrix and New Contradiction Matrix (Darrell Mann Matrix)

The amount of the 40 principles is calculated by separated the classical and new contradiction matrix, which means to sum up the times of the invention principles is recommend by the matrixs. This paper applies statistics with combined the classical and new contradiction matrix to create new possibility as shown in **Figure 4**. Comparing the classical and new contradiction matrix provides quantified data on how well the two matrices predict the inventive principles being used by recent inventors [12]. According to statistics, it describes that several invention principles could be used for the high-efficiency solar electricity of umbrella. The top 5 principles are the strategy ways of principle 17, 14, 3, 4, 35 as shown in **Table 3**.

## 4. High-Efficiency Solar Electricity of Umbrella Designed by TRIZ

### 4.1. The Available Irradiation Analysis on Orientation Planes Founded by TRIZ

The surface orientation of umbrella includes titled angle ( $\theta$ ) and azimuth angle ( $\phi$ ). And the tracking strategy of its surface orientation is determined by the results of the classical and new contradiction matrix to help optimizing the electrical yield. For **Figure 5**, the tilt angle of plane is defined as the angle between the plane and horizontal. The definition of the azimuth angles of plane is taken as negative toward east between south and irradiance collector plane (to go in the anti-trigonometric direction for northern hemisphere), and between north and

**Table 3.** Invention principles founded by the classical and new contradiction matrix.

Principle No. invention principle	Found by the classical and new contradiction matrix	
	Principle description	Project application
Principle 17 Another dimension	<ul style="list-style-type: none"> <li>• Transition one-dimensional movement, or placement, of objects into two-dimensional; two-dimensional to three-dimensional, etc.</li> <li>• Incline an object, or place it on its side.</li> <li>• Utilize the opposite side of a given surface.</li> <li>• Project optical lines onto neighboring areas, or onto the reverse side, of an object.</li> </ul>	<p>Nowadays, the solar panel is always used to put on the top of the roof and to settle as one single dimension or fix direction. We found out there are some disadvantages for this usage since the sun is rolling and the umbrella can be rotated easily. So, we decide to move the solar from one dimension to three-dimensional space for increasing the efficiency of umbrella.</p>
Principle 14 Spheroidality	<ul style="list-style-type: none"> <li>• Instead of using rectilinear parts, surfaces, forms or use curvilinear ones; move from flat surfaces to spherical ones; from parts shaped as a cube (parallelepiped) to ball-shaped structures.</li> <li>• Go from linear to rotary motion, and use centrifugal pattern.</li> </ul>	<p>There are varied shapes of the umbrella, and we want to use the solar panel to create the energy with high efficiency, which means the shape or the tilt angle or the length of the umbrella to find the highest efficiency.</p>
Principle 4 Asymmetry	<ul style="list-style-type: none"> <li>• Change the shape of an object from symmetrical to asymmetrical.</li> <li>• If an object is asymmetrical, increase its degree of asymmetry.</li> </ul>	<p>Although, symmetrical is easy to produce and storage, the main purpose we are looking for is to improve the functions and the efficiency of the solar umbrella. We may analyze what kind of the structure is the suitable one, we can think about solar panel amount and the number, length, the angle of umbrella fin.</p>
Principle 3 Local quality	<ul style="list-style-type: none"> <li>• Change an object's structure from uniform to non-uniform, and change an external environment (or external influence) from uniform to non-uniform.</li> </ul>	<p>In spite of sitting under the umbrella, most of time it still too hot and too dark to sit outside due to lack of lights and electricity in the evening. So this research will use the advantages and useful quality of each function to improve and enhance the practicability of the solar umbrella.</p>
Principle 35 Parameter changes	<ul style="list-style-type: none"> <li>• Change the degree of flexibility.</li> </ul>	<p>How to make the energy and functionality of a solar umbrella more efficiency becomes an important factor and objective for this research. Sun is absolutely necessary for solar function. With the variation of sun from east to west for time to time, it makes many parameters of this subject, such as the length of the fin, the angle, the amount of the fin, even the power of the solar panel.</p>

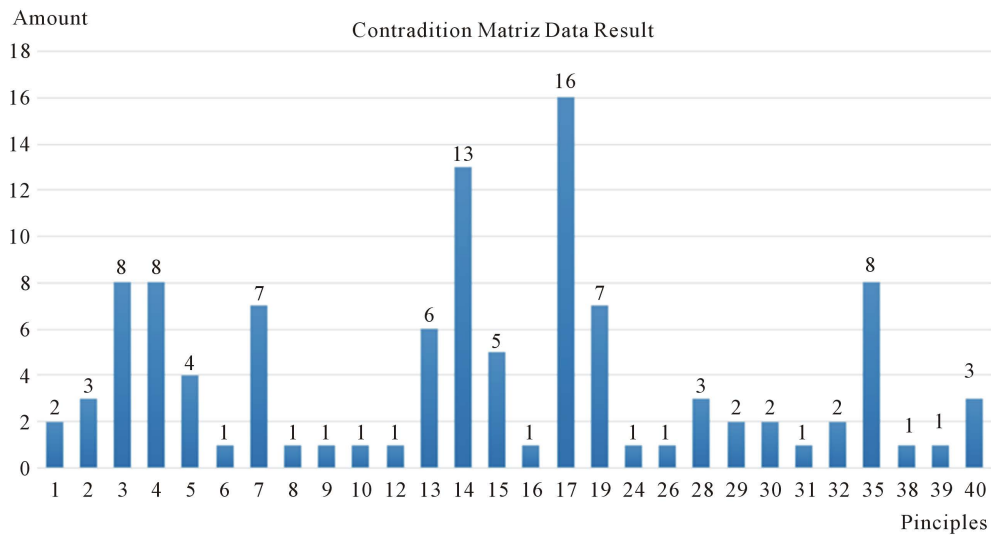


Figure 4. The statistics with combined the classical and new contradiction matrix.

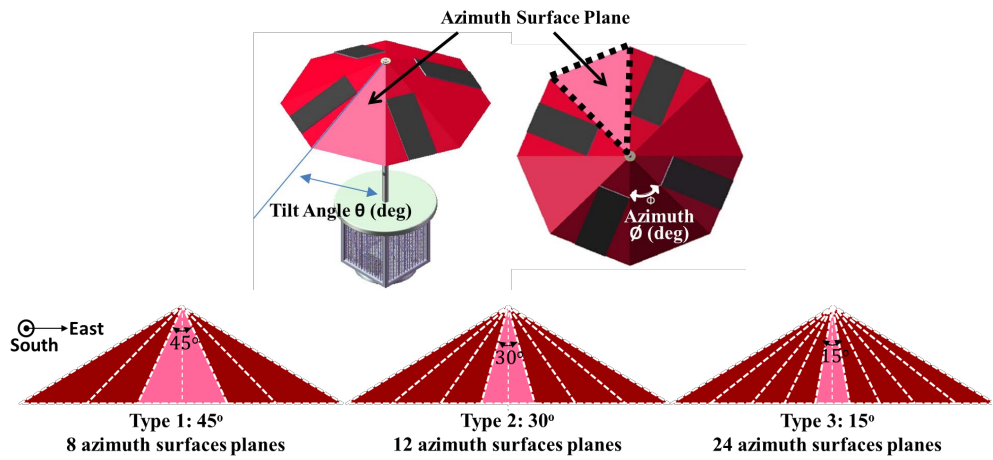


Figure 5. The tracking strategy with tilted angle ( $\theta$ ) and azimuth angle ( $\varnothing$ ) is determined by the result of the classical and new contradiction matrix.

irradiance collector plane (to go in the trigonometric direction for southern hemisphere). The tilted angle ( $\theta$ ) means the degree of open umbrella, and the azimuth angle ( $\varnothing$ ) shows how much umbrella surfaces could be mounted by solar panels. There are 3-type examples of umbrellas to discuss in this paper. Type 1: 8 umbrella azimuth surfaces planes represent 45 degree fan for individual solar panel and 8 total panels for 360 degree. Type 2: 12 umbrella azimuth surfaces planes represent 30 degree fan for individual solar panel and 12 total panels for 360 degree. Type 3: 24 umbrella azimuth surfaces planes represent 15 degree fan for individual solar panel and 24 total panels for 360 degree.

#### 4.2. Sky Radiance Model and Solar Yield

The sky radiance model presents the incident solar radiation on an inclined surface [4] [13] [14]. The sum of direct-beam, sky-diffuse and ground reflection from the incident solar radiation transposes to an irradiance plane ( $I_g$ ) with an inclination angle

$$I_g = I_b + I_d + I_r$$

where  $I_b$  is direct-beam radiation on a plane ( $\text{Wh/m}^2$ );  $I_d$  is sky-diffuse radiation on a plane ( $\text{Wh/m}^2$ );  $I_r$  is ground reflection radiation on a plane ( $\text{Wh/m}^2$ ).

The direct-beam radiation:  $I_b = \left( \frac{I_{hb}}{\sin \alpha} \right) (\sin \alpha \cos \theta + \cos \alpha \sin \theta \cos |\gamma - \gamma_n|)$

The ground reflection radiation:  $I_r = \frac{\rho I_{hg} (1 - \cos \theta)}{2}$

where  $I_{hb}$  and  $I_{hg}$  are horizontal direct beam radiation ( $\text{Wh/m}^2$ ) and horizontal global radiation ( $\text{Wh/m}^2$ ).  $\alpha$ ,  $\gamma$  and  $\gamma_n$  are solar altitude (rad), solar azimuth (rad) and azimuth angle of the normal of the surface (rad), respectively.

The sky-diffuse radiation:  $I_d = \sum_{i=1}^n R_i \omega_i \cos \sigma_i$

The sky-diffuse radiation can be calculated by the sum of  $n$  products of  $R_i$ ,  $\omega_i$ , and  $\cos \sigma_i$ , for each sky patch  $i$ . Solar radiation and sky radiance data have recorded during the 12-month period from January to December of PVGIS (Photovoltaic Geographical Information System) [15] and database were gathered for geographical assessment of the solar energy resource in the study.

The graph of transposition factor as a function of the plane tilt and azimuth, and the optimization of the orientation depend on the planned use for the PV energy. When choosing the plane orientation, a plane indicated the corresponding transposition factor due to irradiance level. As shown in Figure 6, the geographical sites are discussed by regions of Berlin of Germany, Taipei of Taiwan Region and Wellington of New Zealand from left to right. The horizontal global irradiances of Berlin/Taipei/Wellington represent  $1004/1529/1475 \text{ kWh/m}^2$  for whole year,  $794/970/1054 \text{ kWh/m}^2$  for summer (October to March) and  $210/558/421 \text{ kWh/m}^2$  for winter (April to September). By considering available irradiation on this orientation plane, the transposition factor (FT) shows the tilted/horizontal irradiation ratio for every plane related to the difference (loss) by the respect of the optimum orientation. The diffuse factor 1.0 is the attenuation of the diffuse irradiation part due to horizon shading. For north, near and south of tropic of cancer, the optimal point of irradiance plane reveals large azimuth shift in winter.

### 4.3. Solar Electricity Gain Designed by Surface Plane Types of Umbrella

The umbrella has diameter of 2.7 meter and surface plane equal to area of  $5.726 \text{ m}^2$ . The solar panel has maximum power point of  $147 \text{ Wp/m}^2$  by multi-crystalline silicon solar cell. The individual solar panels mounting on surface of umbrella operate in parallel connection. In Figure 7 the electricity of normalized system production is resulted by the three type designs (type 1 with 8 umbrella surfaces, type 2 with 12 umbrella surfaces and type 3 with 24 umbrella surfaces). The different types reveal electricity performances that depended on the degree of open umbrella (tilt angle  $\theta$ ), especially for the regions of north (Berlin) and south (Wellington) of tropic of cancer. In general, the optimal tilt angles  $\theta$  with fixed azimuth angle of south in winter are 59 in Berlin, 38 in Taipei and 60 in Wellington, respectively. If the different types of azimuth of umbrella are applied, the shifted optimal tilt angles were founded by 50 in Berlin, 30 in Taipei and 45 in Wellington. The results is similar as properties on orientation and inclination angle of the roof in Germany [16], when tilt angle decreased with orientation increasing.

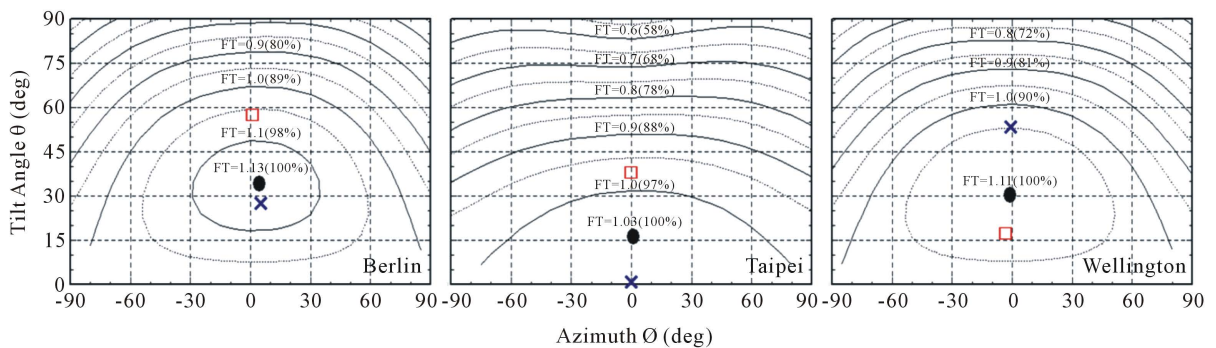
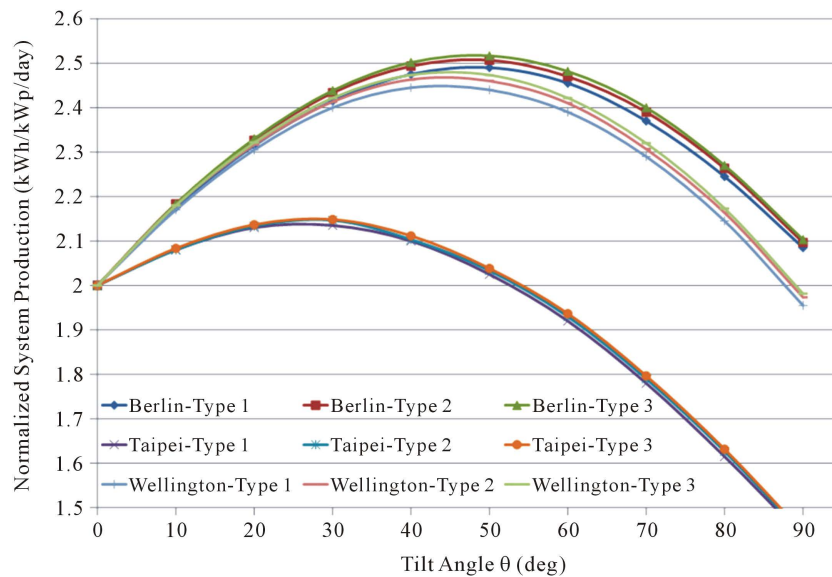


Figure 6. The available irradiation on orientation plane (tilt  $\theta$  and azimuth) by the tilted/horizontal irradiation ratio (red square: winter, blue cross: summer, black dot: whole year).

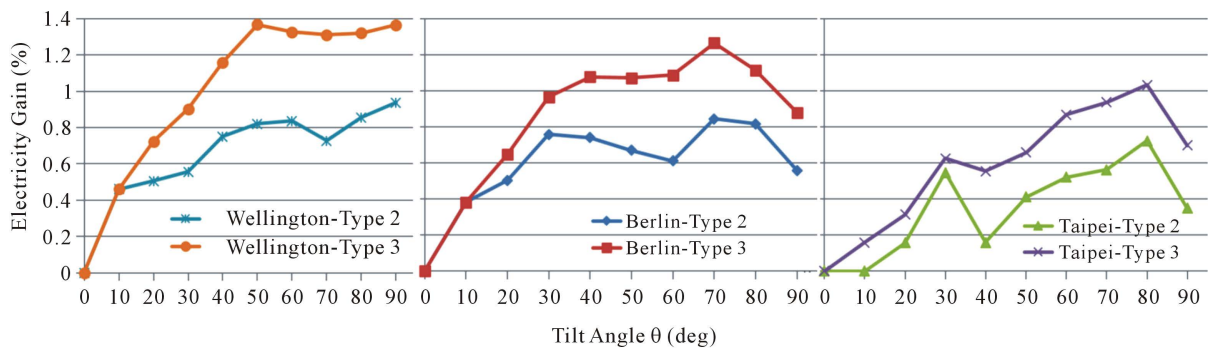
In order to obtain the electricity gain compared with reference of type 1, the different types of azimuth of umbrella are calculated by electricity of normalized system production in **Figure 8**. The gain of type 3/type 2 at fixed tilted angle ( $\theta$ ) of  $50^\circ$  are 1.366%/0.819% in Wellington, 1.070%/0.669% in Berlin, 0.658%/0.411% in Taipei, respectively. The electricity performance can be improved by the designs of type 3 with 24 umbrella surfaces represent 15 degree fan for individual solar panel and 24 total panels in parallel connection for 360 degree.

### 5. Conclusion

In this study, the strategy of high efficiency solar electricity of umbrella can be founded by combining the classical and new contradiction matrix of TRIZ base on the statistics and designed as different types of azimuth surface planes. The electricity gain of 1.366% at fixed tilted angle ( $\theta$ ) of  $50^\circ$  can be resulted by the designs of type 3 with 24 umbrella surfaces compared with type 1. The design of type 3 shows 15 degree fan for individual solar panel, and 24 fans in parallel connection for 360 degree of umbrella. By analyzing the graph of transposition factor as a function of the plane tile and azimuth, the optimization of the orientation can be obtained to the planned use for the PV energy. The optimal point of irradiance plane reveals large azimuth shift in winter. The different types show electricity performances depended on the degree of open umbrella (tilt angle  $\theta$ ), especially for the regions of north (Berlin) and south (Wellington) of tropic of cancer. This study presents the different types of azimuth of umbrella with shifted optimal tilt angles founded by 50 in Berlin, 30 in Taipei and 45 in Wellington.



**Figure 7.** The electricity of normalized system production is resulted by the three type designs for shifted optimal tilt angles.



**Figure 8.** The electricity gain to type 3/type 2 compared with reference of type 1 in Wellington, Berlin and Taipei.



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## Appendix 1. List Table of 39 Engineering Parameters

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- 1 Weight of moving object
  - 2 Weight of stationary object
  - 3 Length of moving object
  - 4 Length of stationary object
  - 5 Area of moving object
  - 6 Area of stationary object
  - 7 Volume of moving object
  - 8 Volume of stationary object
  - 9 Speed
  - 10 Force
  - 11 Stress or pressure
  - 12 Shape
  - 13 Stability of the object's composition
  - 14 Strength
  - 15 Duration of action by a moving object
  - 16 Duration of action by a stationary object
  - 17 Temperature
  - 18 Illumination intensity
  - 19 Use of energy by moving object
  - 20 Use of energy by stationary object
  - 21 Power
  - 22 Loss of energy
  - 23 Loss of substance
  - 24 Loss of information
  - 25 Loss of time
  - 26 Quantity of substance/the matter
  - 27 Reliability
  - 28 Measurement accuracy
  - 29 Manufacturing precision
  - 30 External harm affects the object
  - 31 Object-generated harmful factors
  - 32 Ease of manufacture
  - 33 Ease of operation
  - 34 Ease of repair
  - 35 Adaptability or versatility
  - 36 Device complexity
  - 37 Difficulty of detecting and measuring
  - 38 Extent of automation
  - 39 Productivity
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## Appendix 2. List Table of 40 Principles

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- 1 Segmentation
  - 2 Extraction, separation, removal, segregation
  - 3 Local quality
  - 4 Asymmetry
  - 5 Combining, integration, merging
  - 6 Universality, multi-functionality
  - 7 Nesting
  - 8 Counterweight, levitation
  - 9 Preliminary anti-action, prior counteraction
  - 10 Prior action
  - 11 Cushion in advance, compensate before
  - 12 Equipotentiality, remove stress
  - 13 Inversion, the other way around
  - 14 Spheroidality, curvilinearity
  - 15 Dynamicity, optimization
  - 16 Partial or excessive action
  - 17 Moving to a new dimension
  - 18 Mechanical vibration/oscillation
  - 19 Periodic action
  - 20 Continuity of a useful action
  - 21 Rushing through
  - 22 Convert harm into benefit, “blessing in disguise”
  - 23 Feedback
  - 24 Mediator, intermediary
  - 25 Self-service, self-organization
  - 26 Copying
  - 27 Cheap, disposable objects
  - 28 Replacement of a mechanical system with “fields”
  - 29 Pneumatics or hydraulics:
  - 30 Flexible membranes or thin film
  - 31 Use of porous materials
  - 32 Changing color or optical properties
  - 33 Homogeneity
  - 34 Rejection and regeneration, discarding and recovering
  - 35 Transformation of the physical and chemical states of an object, parameter change, changing properties
  - 36 Phase transformation
  - 37 Thermal expansion
  - 38 Use strong oxidizers, enriched atmospheres, accelerated oxidation
  - 39 Inert environment or atmosphere
  - 40 Composite materials
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